

AMENDMENT TO H.R. 79
OFFERED BY MS. MAXINE WATERS OF
CALIFORNIA

Page 3, line 18, strike “and”.

Page 3, after line 18, insert the following:

1 (D) does not receive any compensation for
2 making introductions between investors attend-
3 ing the event and issuers, or for investment ne-
4 gotiations between such parties; and

Page 3, line 19, strike “(D)” and insert “(E)”.

At the end of the bill, insert the following:

5 (c) DEFINITION OF ISSUER.—For purposes of this
6 section and the revision of rules required under this sec-
7 tion, the term “issuer” means an issuer that is in day-
8 to-day operations as a business, is not in bankruptcy or
9 receivership, is not an investment company, and is not a
10 blank check, blind pool, or shell company.

