

AMENDMENT TO THE RULES COMMITTEE PRINT

116–39

OFFERED BY MS. KELLY OF ILLINOIS

Add at the end the following:

1 **SEC. 3. REPORT ON MACHINE LEARNING TO IDENTIFY IN-**
2 **SIDER TRADING.**

3 (a) IN GENERAL.—The Securities and Exchange
4 Commission shall issue a report to the Congress on—

5 (1) efforts that the Commission has taken to
6 use artificial intelligence and other machine learning
7 technologies to track and identify potential instances
8 of insider trading; and

9 (2) any and all planned future efforts to use
10 such technologies in the Commission’s—

11 (A) investigations,

12 (B) market surveillance activities, and

13 (C) enforcement actions,

14 related to insider trading

15 (b) EXCLUSIONS.—The Commission may exclude
16 from the report required under subsection (a) any infor-
17 mation about the underlying technologies used by Com-
18 mission, disclosure of which would compromise the Com-

- 1 mission's ability to detect, investigate, or enforce insider
- 2 trading laws.

