

**AMENDMENT TO THE RULES COMMITTEE PRINT  
FOR H.R. 1806  
OFFERED BY MS. EDDIE BERNICE JOHNSON OF  
TEXAS**

Page 54, after line 25, add the following new subtitle:

**1 Subtitle B—STEM Opportunities**

**2 SEC. 211. PURPOSE.**

3 (a) IN GENERAL.—The Director, acting through the  
4 Federal science agencies, shall carry out programs and ac-  
5 tivities with the purpose of ensuring that Federal science  
6 agencies and institutions of higher education receiving  
7 Federal research and development funding are fully en-  
8 gaging their entire talent pool.

9 (b) PURPOSES.—The purposes of this subtitle are as  
10 follows:

11 (1) To promote research on and increase under-  
12 standing of the participation and trajectories of  
13 women and underrepresented minorities in STEM  
14 careers at institutions of higher education and Fed-  
15 eral science agencies, including Federal laboratories.

16 (2) To raise awareness within Federal science  
17 agencies, including Federal laboratories, and institu-

1 tions of higher education about cultural and institu-  
2 tional barriers limiting the recruitment, retention,  
3 promotion, and other indicators of participation and  
4 achievement of women and underrepresented minori-  
5 ties in academic and Government STEM research  
6 careers at all levels.

7 (3) To identify, disseminate, and implement  
8 best practices at Federal science agencies, including  
9 Federal laboratories, and at institutions of higher  
10 education to remove or reduce cultural and institu-  
11 tional barriers limiting the recruitment, retention,  
12 and success of women and underrepresented minori-  
13 ties in academic and Government STEM research  
14 careers.

15 (4) To provide grants to institutions of higher  
16 education to recruit, retain, and advance STEM fac-  
17 ulty members from underrepresented minority  
18 groups and to implement or expand reforms in un-  
19 dergraduate STEM education in order to increase  
20 the number of students from underrepresented mi-  
21 nority groups receiving degrees in these fields.

22 **SEC. 212. FEDERAL SCIENCE AGENCY POLICIES FOR CARE-**  
23 **GIVERS.**

24 (a) OSTP GUIDANCE.—Not later than 6 months  
25 after the date of enactment of this Act, the Director shall

1 provide guidance to Federal science agencies to establish  
2 policies that—

3 (1) apply to all—

4 (A) intramural and extramural research  
5 awards; and

6 (B) primary investigators who have  
7 caregiving responsibilities, including care for a  
8 newborn or newly adopted child and care for an  
9 immediate family member who is sick or dis-  
10 abled; and

11 (2) provide—

12 (A) flexibility in timing for the initiation of  
13 approved research awards;

14 (B) no-cost extensions of research awards;

15 (C) grant supplements as appropriate to  
16 research awards for research technicians or  
17 equivalent to sustain research activities; and

18 (D) any other appropriate accommodations  
19 at the discretion of the director of each agency.

20 (b) UNIFORMITY OF GUIDANCE.—In providing such  
21 guidance, the Director shall encourage uniformity and  
22 consistency in the policies across all agencies.

23 (c) ESTABLISHMENT OF POLICIES.—Consistent with  
24 the guidance provided under this section, Federal science  
25 agencies shall maintain or develop and implement policies

1 for caregivers and shall broadly disseminate such policies  
2 to current and potential grantees.

3 (d) DATA ON USAGE.—Federal science agencies  
4 shall—

5 (1) collect data on the usage of the policies  
6 under subsection (c), by gender, at both institutions  
7 of higher education and Federal laboratories; and

8 (2) report such data on an annual basis to the  
9 Director in such form as required by the Director.

10 **SEC. 213. COLLECTION AND REPORTING OF DATA ON FED-**  
11 **ERAL RESEARCH GRANTS.**

12 (a) COLLECTION OF DATA.—

13 (1) IN GENERAL.—Each Federal science agency  
14 shall collect standardized record-level annual infor-  
15 mation on demographics, primary field, award type,  
16 review rating (as practicable), budget request, fund-  
17 ing outcome, and awarded budget for all applications  
18 for merit-reviewed research and development grants  
19 to institutions of higher education and Federal lab-  
20 oratories supported by that agency.

21 (2) UNIFORMITY AND STANDARDIZATION.—The  
22 Director shall establish a policy to ensure uniformity  
23 and standardization of the data collection required  
24 under paragraph (1).

25 (3) RECORD-LEVEL DATA.—

1           (A) REQUIREMENT.—On an annual basis,  
2           beginning with the deadline under subpara-  
3           graph (C), each Federal science agency shall  
4           submit to the Director of the National Science  
5           Foundation record-level data collected under  
6           paragraph (1) in the form required by such Di-  
7           rector.

8           (B) PREVIOUS DATA.—As part of the first  
9           submission under subparagraph (A), each Fed-  
10          eral science agency, to the extent practicable,  
11          shall also submit comparable record-level data  
12          for the 5 years preceding the deadline under  
13          subparagraph (C).

14          (C) DEADLINE.—The deadline under this  
15          paragraph is a date that is not later than 2  
16          years after the date of enactment of this Act.

17          (b) REPORTING OF DATA.—The Director of the Na-  
18          tional Science Foundation shall publish statistical sum-  
19          mary data collected under this section, disaggregated and  
20          cross-tabulated by race, ethnicity, gender, age, and years  
21          since completion of doctoral degree, including in conjunc-  
22          tion with the National Science Foundation's report re-  
23          quired by section 37 of the Science and Technology Equal  
24          Opportunities Act (42 U.S.C. 1885d; Public Law 96-  
25          516).

1 **SEC. 214. POLICIES FOR REVIEW OF FEDERAL RESEARCH**  
2 **GRANTS.**

3 (a) IN GENERAL.—The Director, in collaboration  
4 with the Director of the National Science Foundation,  
5 shall identify information and best practices useful for  
6 educating program officers and members of standing peer  
7 review committees at Federal science agencies about—

8 (1) research on implicit bias based on gender,  
9 race, or ethnicity; and

10 (2) methods to minimize the effect of such bias  
11 in the review of extramural and intramural Federal  
12 research grants.

13 (b) GUIDANCE TO ALL FEDERAL SCIENCE AGEN-  
14 CIES.—The Director shall disseminate the information  
15 and best practices identified in subsection (a) to all Fed-  
16 eral science agencies and provide guidance as necessary  
17 on policies to implement such practices within each agen-  
18 cy.

19 (c) ESTABLISHMENT OF POLICIES.—Consistent with  
20 the guidance provided in subsection (b), Federal science  
21 agencies shall maintain or develop and implement policies  
22 and practices to minimize the effects of implicit bias in  
23 the review of extramural and intramural Federal research  
24 grants.

25 (d) REPORT TO CONGRESS.—Not later than 2 years  
26 after the date of enactment of this Act, the Director shall

1 report to Congress on what steps all Federal science agen-  
2 cies have taken to implement policies and practices to min-  
3 imize the effects of bias in the review of extramural and  
4 intramural Federal research grants.

5 **SEC. 215. COLLECTION OF DATA ON DEMOGRAPHICS OF**  
6 **FACULTY.**

7 (a) COLLECTION OF DATA.—

8 (1) IN GENERAL.—Not later than 3 years after  
9 the date of enactment of this Act, and at least every  
10 5 years thereafter, the Director of the National  
11 Science Foundation shall carry out a survey to col-  
12 lect institution-level data on the demographics of  
13 STEM faculty, by broad fields of STEM, at dif-  
14 ferent types of institutions of higher education.

15 (2) CONSIDERATIONS.—To the extent prac-  
16 ticable, the Director of the National Science Foun-  
17 dation shall consider, by gender, race, ethnicity, citi-  
18 zenship status, age, and years since completion of  
19 doctoral degree—

20 (A) the number and percentage of faculty;

21 (B) the number and percentage of faculty  
22 at each rank;

23 (C) the number and percentage of faculty  
24 who are in nontenure-track positions, including  
25 teaching and research;

1 (D) the number and percentage of faculty  
2 who are reviewed for promotion, including ten-  
3 ure, and the percentage of that number who are  
4 promoted, including being awarded tenure;

5 (E) faculty years in rank;

6 (F) the number and percentage of faculty  
7 to leave tenure-track positions;

8 (G) the number and percentage of faculty  
9 hired, by rank; and

10 (H) the number and percentage of faculty  
11 in leadership positions.

12 (b) EXISTING SURVEYS.—The Director of the Na-  
13 tional Science Foundation—

14 (1) may carry out the requirements under sub-  
15 section (a) by collaborating with statistical centers  
16 at other Federal agencies to modify or expand, as  
17 necessary, existing Federal surveys of higher edu-  
18 cation; or

19 (2) may award a grant or contract to an insti-  
20 tution of higher education or other nonprofit organi-  
21 zation to design and carry out the requirements  
22 under subsection (a).

23 (c) REPORTING DATA.—The Director of the National  
24 Science Foundation shall publish statistical summary data  
25 collected under this section, including as part of the Na-



1 tional Science Foundation's report required by section 37  
2 of the Science and Technology Equal Opportunities Act  
3 (42 U.S.C. 1885d; Public Law 96-516).

4 (d) AUTHORIZATION OF APPROPRIATIONS.—There  
5 are authorized to be appropriated to the Director of the  
6 National Science Foundation \$3,000,000 in each of fiscal  
7 years 2016 through 2018 to develop and carry out the  
8 initial survey required in subsection (a).

9 **SEC. 216. CULTURAL AND INSTITUTIONAL BARRIERS TO EX-**  
10 **PANDING THE ACADEMIC AND FEDERAL**  
11 **STEM WORKFORCE.**

12 (a) BEST PRACTICES AT INSTITUTIONS OF HIGHER  
13 EDUCATION.—

14 (1) DEVELOPMENT OF GUIDANCE.—Not later  
15 than 6 months after the date of enactment of this  
16 Act, the Director of the National Science Founda-  
17 tion shall develop written guidance for institutions of  
18 higher education on the best practices for—

19 (A) conducting periodic campus culture  
20 surveys of STEM departments, with a par-  
21 ticular focus on identifying any cultural or in-  
22 stitutional barriers to or successful enablers for  
23 the recruitment, retention, promotion, and  
24 other indicators of participation and achieve-  
25 ment, of women and underrepresented minori-

1           ties in STEM degree programs and academic  
2           STEM careers; and

3                   (B) providing educational opportunities, in-  
4           cluding workshops as described in subsection  
5           (c), for STEM faculty and administrators to  
6           learn about current research on implicit bias in  
7           recruitment, evaluation, and promotion of fac-  
8           ulty in STEM and recruitment and evaluation  
9           of undergraduate and graduate students in  
10          STEM degree programs.

11          (2) EXISTING GUIDANCE.—In developing the  
12          guidance in paragraph (1), the Director of the Na-  
13          tional Science Foundation shall utilize guidance al-  
14          ready developed by the National Aeronautics and  
15          Space Administration, the Department of Energy,  
16          and the Department of Education.

17          (3) DISSEMINATION OF GUIDANCE.—The Direc-  
18          tor of the National Science Foundation shall broadly  
19          disseminate the guidance developed in paragraph (1)  
20          to institutions of higher education that receive Fed-  
21          eral research funding.

22          (4) REPORTS TO THE NATIONAL SCIENCE  
23          FOUNDATION.—The Director of the National Science  
24          Foundation shall develop a policy that—

1 (A) applies to, at a minimum, the institu-  
2 tions classified under the Indiana University  
3 Center for Postsecondary Research Carnegie  
4 Classification on January 1, 2015, as a doc-  
5 torate-granting university with a very high level  
6 of research activity; and

7 (B) requires each institution identified in  
8 subparagraph (A), not later than 3 years after  
9 the date of enactment of this Act, to report to  
10 the Director of the National Science Founda-  
11 tion on activities and policies developed and im-  
12 plemented based on the guidance provided in  
13 paragraph (1).

14 (b) BEST PRACTICES AT FEDERAL LABORA-  
15 TORIES.—

16 (1) DEVELOPMENT OF GUIDANCE.—Not later  
17 than 6 months after the date of enactment of this  
18 Act, the Director shall develop written guidance for  
19 Federal laboratories to develop and implement prac-  
20 tices and policies to—

21 (A) conduct periodic laboratorywide culture  
22 surveys of research personnel at all levels, with  
23 a particular focus on identifying any cultural or  
24 institutional barriers to the recruitment, reten-  
25 tion, and success of women and underrep-

1           resented minorities in STEM careers at Federal  
2           laboratories; and

3                   (B) provide educational opportunities, in-  
4           cluding workshops as described in subsection  
5           (c), for STEM research personnel to learn  
6           about current research in implicit bias in re-  
7           cruitment, evaluation, and promotion of re-  
8           search personnel at Federal laboratories.

9           (2) ESTABLISHMENT OF POLICIES.—Consistent  
10          with the guidance provided in paragraph (1), Fed-  
11          eral science agencies with Federal laboratories shall  
12          maintain or develop and implement policies for their  
13          respective Federal laboratories.

14          (c) WORKSHOPS TO ADDRESS CULTURAL BARRIERS  
15          TO EXPANDING THE ACADEMIC AND FEDERAL STEM  
16          WORKFORCE.—

17                   (1) IN GENERAL.—Not later than 6 months  
18          after the date of enactment of this Act, the Director  
19          of the National Science Foundation shall recommend  
20          a uniform policy for Federal science agencies to  
21          carry out a program of workshops that educate  
22          STEM department chairs at institutions of higher  
23          education, senior managers at Federal laboratories,  
24          and other federally funded researchers about meth-  
25          ods that minimize the effects of implicit bias in the

1 career advancement, including hiring, tenure, pro-  
2 motion, and selection for any honor based in part on  
3 the recipient's research record, of academic and Fed-  
4 eral STEM researchers.

5 (2) INTERAGENCY COORDINATION.—The Direc-  
6 tor of the National Science Foundation shall ensure  
7 that workshops supported under this subsection are  
8 coordinated across Federal science agencies and  
9 jointly supported as appropriate.

10 (3) MINIMIZING COSTS.—To the extent prac-  
11 ticable, workshops shall be held in conjunction with  
12 national or regional STEM disciplinary meetings to  
13 minimize costs associated with participant travel.

14 (4) PRIORITY FIELDS FOR ACADEMIC PARTICI-  
15 PANTS.—In considering the participation of STEM  
16 department chairs and other academic researchers,  
17 the Director shall prioritize workshops for the broad  
18 fields of STEM in which the national rate of rep-  
19 resentation of women among tenured or tenure-track  
20 faculty or non-faculty researchers at doctorate-  
21 granting institutions of higher education is less than  
22 25 percent, according to the most recent data avail-  
23 able from the National Center for Science and Engi-  
24 neering Statistics.

1           (5) ORGANIZATIONS ELIGIBLE TO CARRY OUT  
2 WORKSHOPS.—Federal science agencies may carry  
3 out the program of workshops under this subsection  
4 by making grants to eligible organizations. In addi-  
5 tion to any other organizations made eligible by the  
6 Federal science agencies, the following organizations  
7 are eligible for grants under this subsection:

8           (A) Nonprofit scientific and professional  
9 societies and organizations that represent one  
10 or more STEM disciplines.

11           (B) Nonprofit organizations that have the  
12 primary mission of advancing the participation  
13 of women or underrepresented minorities in  
14 STEM.

15           (6) CHARACTERISTICS OF WORKSHOPS.—The  
16 workshops shall have the following characteristics:

17           (A) Invitees to workshops shall include at  
18 least—

19           (i) the chairs of departments in the  
20 relevant STEM discipline or disciplines  
21 from at least the top 50 institutions of  
22 higher education, as determined by the  
23 amount of Federal research and develop-  
24 ment funds obligated to each institution of  
25 higher education in the prior year based on

1 data available from the National Science  
2 Foundation; and

3 (ii) in the case of Federal laboratories,  
4 individuals with personnel management re-  
5 sponsibilities comparable to those of an in-  
6 stitution of higher education department  
7 chair.

8 (B) Activities at the workshops shall in-  
9 clude research presentations and interactive dis-  
10 cussions or other activities that increase the  
11 awareness of the existence of implicit bias in re-  
12 cruitment, hiring, tenure review, promotion, and  
13 other forms of formal recognition of individual  
14 achievement for faculty and other federally  
15 funded STEM researchers and shall provide  
16 strategies to overcome such bias.

17 (C) Research presentations and other  
18 workshop programs, as appropriate, shall in-  
19 clude a discussion of the unique challenges  
20 faced by underrepresented sub-groups, includ-  
21 ing minority women, minority men, and first  
22 generation minority graduates in research.

23 (D) Workshop programs shall include in-  
24 formation on best practices for mentoring un-

1           dergraduate and graduate women and under-  
2           represented minority students.

3           (7) DATA ON WORKSHOPS.—Any proposal for  
4           funding by an organization seeking to carry out a  
5           workshop under this subsection shall include a de-  
6           scription of how such organization will—

7                   (A) collect data on the rates of attendance  
8                   by invitees in workshops, including information  
9                   on the home institution and department of  
10                  attendees, and the rank of faculty attendees;

11                  (B) conduct attitudinal surveys on work-  
12                  shop attendees before and after the workshops;  
13                  and

14                  (C) collect follow-up data on any relevant  
15                  institutional policy or practice changes reported  
16                  by attendees not later than one year after at-  
17                  tendance in such a workshop.

18           (8) REPORT TO NSF.—Organizations receiving  
19           funding to carry out workshops under this sub-  
20           section shall report the data required in paragraph  
21           (7) to the Director of the National Science Founda-  
22           tion in such form as required by such Director.

23           (d) REPORT TO CONGRESS.—Not later than 4 years  
24           after the date of enactment of this Act, the Director of



1 the National Science Foundation shall submit a report to  
2 Congress that includes—

3 (1) a summary and analysis of the types and  
4 frequency of activities and policies developed and  
5 carried out under subsection (a) based on the re-  
6 ports submitted under paragraph (4) of such sub-  
7 section; and

8 (2) a description and evaluation of the status  
9 and effectiveness of the program of workshops re-  
10 quired under subsection (c), including a summary of  
11 any data reported under paragraph (8) of such sub-  
12 section.

13 (e) AUTHORIZATION OF APPROPRIATIONS.—There  
14 are authorized to be appropriated to the Director of the  
15 National Science Foundation \$2,000,000 in each of fiscal  
16 years 2016 through 2020 to carry out this section.

17 **SEC. 217. RESEARCH AND DISSEMINATION AT THE NA-**  
18 **TIONAL SCIENCE FOUNDATION.**

19 (a) IN GENERAL.—The Director of the National  
20 Science Foundation shall award research grants and carry  
21 out dissemination activities consistent with the purposes  
22 of this subtitle, including—

23 (1) research grants to analyze the record-level  
24 data collected under section 4 and section 6, con-

1       sistent with policies to ensure the privacy of individ-  
2       uals identifiable by such data;

3           (2) research grants to study best practices for  
4       work-life accommodation;

5           (3) research grants to study the impact of poli-  
6       cies and practices that are implemented under this  
7       subtitle or that are otherwise consistent with the  
8       purposes of this subtitle;

9           (4) collaboration with other Federal science  
10       agencies and professional associations to exchange  
11       best practices, harmonize work-life accommodation  
12       policies and practices, and overcome common bar-  
13       riers to work-life accommodation; and

14           (5) collaboration with institutions of higher  
15       education in order to clarify and catalyze the adop-  
16       tion of a coherent and consistent set of work-life ac-  
17       commodation policies and practices.

18       (b) **AUTHORIZATION OF APPROPRIATIONS.**—There  
19       are authorized to be appropriated to the Director of the  
20       National Science Foundation \$5,000,000 in each of fiscal  
21       years 2016 through 2020 to carry out this section.

22       **SEC. 218. REPORT TO CONGRESS.**

23       Not later than 4 years after the date of enactment  
24       of this Act, the Director shall submit a report to Congress  
25       that includes—

1 (1) a description and evaluation of the status  
2 and usage of caregiver policies at all Federal science  
3 agencies, including any recommendations for revis-  
4 ing or expanding such policies;

5 (2) a description of any significant updates to  
6 the policies for review of Federal research grants re-  
7 quired under section 5, and any evidence of the im-  
8 pact of such policies on the review or awarding of  
9 Federal research grants; and

10 (3) a description and evaluation of the status of  
11 Federal laboratory policies and practices required  
12 under section 7(b), including any recommendations  
13 for revising or expanding such policies.

14 **SEC. 219. NATIONAL SCIENCE FOUNDATION SUPPORT FOR**  
15 **INCREASING DIVERSITY AMONG STEM FAC-**  
16 **ULTY AT INSTITUTIONS OF HIGHER EDU-**  
17 **CATION.**

18 (a) GRANTS.—The Director of the National Science  
19 Foundation shall award grants to institutions of higher  
20 education (or consortia thereof) for the development of in-  
21 novative reform efforts designed to increase the recruit-  
22 ment, retention, and advancement of individuals from  
23 underrepresented minority groups in academic STEM ca-  
24 reers.

1           (b) MERIT REVIEW; COMPETITION.—Grants shall be  
2 awarded under this section on a merit-reviewed, competi-  
3 tive basis.

4           (c) USE OF FUNDS.—Activities supported by grants  
5 under this section may include—

6               (1) institutional assessment activities, such as  
7 data analyses and policy review, in order to identify  
8 and address specific issues in the recruitment, reten-  
9 tion, and advancement of faculty members from  
10 underrepresented minority groups;

11               (2) implementation of institution-wide improve-  
12 ments in workload distribution, such that faculty  
13 members from underrepresented minority groups are  
14 not disadvantaged in the amount of time available to  
15 focus on research, publishing papers, and engaging  
16 in other activities required to achieve tenure status  
17 and run a productive research program;

18               (3) development and implementation of training  
19 courses for administrators and search committee  
20 members to ensure that candidates from underrep-  
21 resented minority groups are not subject to implicit  
22 biases in the search and hiring process;

23               (4) development and hosting of intra- or inter-  
24 institutional workshops to propagate best practices

1 in recruiting, retaining, and advancing faculty mem-  
2 bers from underrepresented minority groups;

3 (5) professional development opportunities for  
4 faculty members from underrepresented minority  
5 groups;

6 (6) activities aimed at making undergraduate  
7 STEM students from underrepresented minority  
8 groups aware of opportunities for academic careers  
9 in STEM fields;

10 (7) activities to identify and engage exceptional  
11 graduate students from underrepresented minority  
12 groups at various stages of their studies and to en-  
13 courage them to enter academic careers; and

14 (8) other activities consistent with subsection  
15 (a), as determined by the Director of the National  
16 Science Foundation.

17 (d) SELECTION PROCESS.—

18 (1) APPLICATION.—An institution of higher  
19 education (or consortia thereof) seeking funding  
20 under this section shall submit an application to the  
21 Director of the National Science Foundation at such  
22 time, in such manner, and containing such informa-  
23 tion and assurances as such Director may require.  
24 The application shall include, at a minimum, a de-  
25 scription of—

1 (A) the reform effort that is being pro-  
2 posed for implementation by the institution of  
3 higher education;

4 (B) any available evidence of specific dif-  
5 ficulties in the recruitment, retention, and ad-  
6 vancement of faculty members from underrep-  
7 resented minority groups in STEM academic  
8 careers within the institution of higher edu-  
9 cation submitting an application, and how the  
10 proposed reform effort would address such  
11 issues;

12 (C) how the institution of higher education  
13 submitting an application plans to sustain the  
14 proposed reform effort beyond the duration of  
15 the grant; and

16 (D) how the success and effectiveness of  
17 the proposed reform effort will be evaluated and  
18 assessed in order to contribute to the national  
19 knowledge base about models for catalyzing in-  
20 stitutional change.

21 (2) REVIEW OF APPLICATIONS.—In selecting  
22 grant recipients under this section, the Director of  
23 the National Science Foundation shall consider, at a  
24 minimum—

1           (A) the likelihood of success in under-  
2           taking the proposed reform effort at the institu-  
3           tion of higher education submitting the applica-  
4           tion, including the extent to which the adminis-  
5           trators of the institution are committed to mak-  
6           ing the proposed reform effort a priority;

7           (B) the degree to which the proposed re-  
8           form effort will contribute to change in institu-  
9           tional culture and policy such that greater value  
10          is placed on the recruitment, retention, and ad-  
11          vancement of faculty members from underrep-  
12          resented minority groups;

13          (C) the likelihood that the institution of  
14          higher education will sustain or expand the pro-  
15          posed reform effort beyond the period of the  
16          grant; and

17          (D) the degree to which evaluation and as-  
18          sessment plans are included in the design of the  
19          proposed reform effort.

20          (3) GRANT DISTRIBUTION.—The Director of  
21          the National Science Foundation shall ensure, to the  
22          extent practicable, that grants awarded under this  
23          section are made to a variety of types of institutions  
24          of higher education.

1 (e) AUTHORIZATION OF APPROPRIATIONS.—There  
2 are authorized to be appropriated to the Director of the  
3 National Science Foundation \$10,000,000 in each of fiscal  
4 years 2016 through 2020 to carry out this section.

5 **SEC. 220. NATIONAL SCIENCE FOUNDATION SUPPORT FOR**  
6 **BROADENING PARTICIPATION IN UNDER-**  
7 **GRADUATE STEM EDUCATION.**

8 (a) GRANTS.—The Director of the National Science  
9 Foundation shall award grants to institutions of higher  
10 education (or consortia thereof) to implement or expand  
11 research-based reforms in undergraduate STEM edu-  
12 cation for the purpose of recruiting and retaining students  
13 from minority groups who are underrepresented in STEM  
14 fields, with a priority focus on natural science and engi-  
15 neering fields.

16 (b) MERIT REVIEW; COMPETITION.—Grants shall be  
17 awarded under this section on a merit-reviewed, competi-  
18 tive basis.

19 (c) USE OF FUNDS.—Activities supported by grants  
20 under this section may include—

21 (1) implementation or expansion of innovative,  
22 research-based approaches to broaden participation  
23 of underrepresented minority groups in STEM  
24 fields;



1           (2) implementation or expansion of bridge, co-  
2           hort, tutoring, or mentoring programs designed to  
3           enhance the recruitment and retention of students  
4           from underrepresented minority groups in STEM  
5           fields;

6           (3) implementation or expansion of outreach  
7           programs linking institutions of higher education  
8           and K–12 school systems in order to heighten  
9           awareness among pre-college students from under-  
10          represented minority groups of opportunities in col-  
11          lege-level STEM fields and STEM careers;

12          (4) implementation or expansion of faculty de-  
13          velopment programs focused on improving retention  
14          of undergraduate STEM students from underrep-  
15          resented minority groups;

16          (5) implementation or expansion of mechanisms  
17          designed to recognize and reward faculty members  
18          who demonstrate a commitment to increasing the  
19          participation of students from underrepresented mi-  
20          nority groups in STEM fields;

21          (6) expansion of successful reforms aimed at in-  
22          creasing the number of STEM students from under-  
23          represented minority groups beyond a single course  
24          or group of courses to achieve reform within an en-  
25          tire academic unit, or expansion of successful reform

1 efforts beyond a single academic unit to other  
2 STEM academic units within an institution of high-  
3 er education;

4 (7) expansion of opportunities for students from  
5 underrepresented minority groups to conduct STEM  
6 research in industry, at Federal labs, and at inter-  
7 national research institutions or research sites;

8 (8) provision of stipends for students from  
9 underrepresented minority groups participating in  
10 research;

11 (9) development of research collaborations be-  
12 tween research-intensive universities and primarily  
13 undergraduate minority-serving institutions;

14 (10) support for graduate students and post-  
15 doctoral fellows from underrepresented minority  
16 groups to participate in instructional or assessment  
17 activities at primarily undergraduate institutions, in-  
18 cluding primarily undergraduate minority-serving in-  
19 stitutions and two-year institutions of higher edu-  
20 cation; and

21 (11) other activities consistent with subsection  
22 (a), as determined by the Director of the National  
23 Science Foundation.

24 (d) SELECTION PROCESS.—

1           (1) APPLICATION.—An institution of higher  
2 education (or consortia thereof) seeking a grant  
3 under this section shall submit an application to the  
4 Director of the National Science Foundation at such  
5 time, in such manner, and containing such informa-  
6 tion and assurances as such Director may require.

7 The application shall include, at a minimum—

8           (A) a description of the proposed reform  
9 effort;

10           (B) a description of the research findings  
11 that will serve as the basis for the proposed re-  
12 form effort or, in the case of applications that  
13 propose an expansion of a previously imple-  
14 mented reform, a description of the previously  
15 implemented reform effort, including data about  
16 the recruitment, retention, and academic  
17 achievement of students from underrepresented  
18 minority groups;

19           (C) evidence of an institutional commit-  
20 ment to, and support for, the proposed reform  
21 effort, including a long-term commitment to im-  
22 plement successful strategies from the current  
23 reform beyond the academic unit or units in-  
24 cluded in the grant proposal;

1 (D) a description of existing or planned in-  
2 stitutional policies and practices regarding fac-  
3 ulty hiring, promotion, tenure, and teaching as-  
4 signment that reward faculty contributions to  
5 improving the education of students from  
6 underrepresented minority groups in STEM;  
7 and

8 (E) how the success and effectiveness of  
9 the proposed reform effort will be evaluated and  
10 assessed in order to contribute to the national  
11 knowledge base about models for catalyzing in-  
12 stitutional change.

13 (2) REVIEW OF APPLICATIONS.—In selecting  
14 grant recipients under this section, the Director of  
15 the National Science Foundation shall consider, at a  
16 minimum—

17 (A) the likelihood of success of the pro-  
18 posed reform effort at the institution submit-  
19 ting the application, including the extent to  
20 which the faculty, staff, and administrators of  
21 the institution are committed to making the  
22 proposed institutional reform a priority of the  
23 participating academic unit or units;

24 (B) the degree to which the proposed re-  
25 form effort will contribute to change in institu-

1           tional culture and policy such that greater value  
2           is placed on faculty engagement in the retention  
3           of students from underrepresented minority  
4           groups;

5           (C) the likelihood that the institution will  
6           sustain or expand the proposed reform effort  
7           beyond the period of the grant; and

8           (D) the degree to which evaluation and as-  
9           sessment plans are included in the design of the  
10          proposed reform effort.

11          (3) PRIORITY.—For applications that include  
12          an expansion of existing reforms beyond a single  
13          academic unit, the Director of the National Science  
14          Foundation shall give priority to applications for  
15          which a senior institutional administrator, such as a  
16          dean or other administrator of equal or higher rank,  
17          serves as the principal investigator.

18          (4) GRANT DISTRIBUTION.—The Director of  
19          the National Science Foundation shall ensure, to the  
20          extent practicable, that grants awarded under this  
21          section are made to a variety of types of institutions  
22          of higher education, including two-year and minor-  
23          ity-serving institutions of higher education.

24          (e) EDUCATION RESEARCH.—

1           (1) IN GENERAL.—All grants made under this  
2 section shall include an education research compo-  
3 nent that will support the design and implementa-  
4 tion of a system for data collection and evaluation  
5 of proposed reform efforts in order to build the  
6 knowledge base on promising models for increasing  
7 recruitment and retention of students from under-  
8 represented minority groups in STEM education at  
9 the undergraduate level across a diverse set of insti-  
10 tutions.

11           (2) DISSEMINATION.—The Director of the Na-  
12 tional Science Foundation shall coordinate with rel-  
13 evant Federal agencies in disseminating the results  
14 of the research under this subsection to ensure that  
15 best practices in broadening participation in STEM  
16 education at the undergraduate level are made read-  
17 ily available to all institutions of higher education,  
18 other Federal agencies that support STEM pro-  
19 grams, non-Federal funders of STEM education,  
20 and the general public.

21           (f) AUTHORIZATION OF APPROPRIATIONS.—There  
22 are authorized to be appropriated to the Director of the  
23 National Science Foundation \$15,000,000 in each of fiscal  
24 years 2016 through 2020 to carry out this section.

1 **SEC. 221. DEFINITIONS.**

2 In this subtitle:

3 (1) DIRECTOR.—The term “Director” means  
4 the Director of the Office of Science and Technology  
5 Policy (“OSTP”).

6 (2) FEDERAL LABORATORY.—The term “Fed-  
7 eral laboratory” has the meaning given such term in  
8 section 4 of the Stevenson-Wydler Technology Inno-  
9 vation Act of 1980 (15 U.S.C. 3703).

10 (3) FEDERAL SCIENCE AGENCY.—The term  
11 “Federal science agency” means any Federal agency  
12 with at least \$100,000,000 in research and develop-  
13 ment expenditures in fiscal year 2014.

14 (4) INSTITUTION OF HIGHER EDUCATION.—The  
15 term “institution of higher education” has the  
16 meaning given such term in section 101(a) of the  
17 Higher Education Act of 1965 (20 U.S.C. 1001(a)).

18 (5) STEM.—The term “STEM” means the  
19 academic and professional disciplines of science,  
20 technology, engineering, and mathematics.

