

AMENDMENT TO H.R. 79
OFFERED BY MS. VELÁZQUEZ OF NEW YORK

Page 3, line 18, strike “and”.

Page 3, after line 24, insert the following:

1 (E) provides attendees with a disclosure,
2 as proscribed by the Securities and Exchange
3 Commission by rule, describing the nature of
4 the event and the risks of investing in the secu-
5 rities being advertised; and

Add at the end the following:

6 (c) NO PRE-EXISTING RELATIONSHIP BY REASON OF
7 EVENT.—Attendance at an event described under sub-
8 section (a) shall not qualify, by itself, as establishing a
9 pre-existing relationship between an issuer and a pur-
10 chaser, for purposes of Rule 506(b).

